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# NOTICE OF ALLOWANCE AND FEE(S) DUE

SAP / FINNEGAN, HENDERSON LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413 EXAMINER

LOFTIS, JOHNNA RONEE

ART UNIT PAPER NUMBER

3624

DATE MAILED: 09/15/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/657,748	09/08/2003	Joerg Beringer	09282.0009-00	1142

TITLE OF INVENTION: GUIDED PROCEDURE FRAMEWORK

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	12/15/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents
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maintenance fee notificat	ions.		rders and notification of n  a) specifying a new corres	naintenance fees wi pondence address;	If be mailed to the cuand/or (b) indicating	n 3 sno irrent co a separa	orrespondence address as ate "FEE ADDRESS" for
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SAP / FINNEG 901 NEW YORK	AN, HENDERSO		I he State addr trans	oby cortify that this	ficate of Mailing or 7 Fee(s) Transmittal is th sufficient postage f Stop ISSUE FEE ad O (571) 273-2885, on	baina a	ission  deposited with the United class mail in an envelope bove, or being facsimile indicated below.
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							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	1.	ATTORNEY DOCKET	NO.	CONFIRMATION NO.
10/657,748	09/08/2003	<u></u>	Joerg Beringer	<u> </u>	09282.0009-00		1142
ITTLE OF INVENTION:							
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nonprovisional	NO	\$1510	\$300	\$0	\$1810		12/15/2011
EXAMI	INER	ART UNIT	CLASS-SUBCLASS				
LOFTIS, JOHN	NNA RONEE	3624	705-009000				
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☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			registered attorney or agent) and the names of up to				
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PLEASE NOTE: Unle	ess an assignee is identi	ified below, no assignee	data will appear on the pa	itent. If an assigned	e is identified below,	the doc	ument has been filed for
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Please check the appropri	ate assignee category or	categories (will not be pr	inted on the patent): $\Box$	Individual 🖵 Cor	poration or other priva	ate grou	p entity 🚨 Government
4a. The following fee(s) a	re submitted:	41	o. Payment of Fee(s): ( <b>Plea</b>	se first reapply any	previously paid issu	ie fee sh	own above)
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	o small entity discount p		☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
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NOTE: The Issue Fee and interest as shown by the re	l Publication Fee (if reque cords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than the Office.	ne applicant; a regist	ered attorney or agent	; or the	assignee or other party in
Authorized Signature				Date			
Typed or printed name				Registration No			
This collection of informa an application. Confident submitting the completed this form and/or suggestic Box 1450. Alexandria V	ntion is required by 37 Ciality is governed by 35 application form to the ons for reducing this builting in 22313-1450. DO	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th NOT SEND FEES OR O	on is required to obtain or r 1.14. This collection is est depending upon the indiv e Chief Information Office COMPLETED FORMS TO	etain a benefit by the imated to take 12 m idual case. Any con r, U.S. Patent and T D THIS ADDRESS.	e public which is to fil inutes to complete, in ments on the amount rademark Office, U.S SEND TO: Commiss:	e (and be cluding of time Depart	by the USPTO to process) gathering, preparing, and e you require to complete tment of Commerce, P.O. or Patents, P.O. Box 1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/657,748	09/08/2003	Joerg Beringer	09282.0009-00 1142		
60668 75	90 09/15/2011	EXAMINER			
SAP / FINNEGAN, HENDERSON LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413			LOFTIS, JOHNNA RONEE		
			ART UNIT PAPER NUMBER		
			3624		

DATE MAILED: 09/15/2011

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1026 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1026 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	
	10/657,748	BERINGER ET AL.	
Notice of Allowability	Examiner	Art Unit	
	JOHNNA LOFTIS	3624	
The MAILING DATE of this communication appeal all claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R	ears on the cover si (OR REMAINS) CLC or other appropriate IGHTS. This applica	heet with the correspondence address DSED in this application. If not included communication will be mailed in due course. Th	
1. A This communication is responsive to the RCE and Information	on Disclosure filed 9	<u>/6/11</u> .	
2. An election was made by the applicant in response to a restrequirement and election have been incorporated into this		et forth during the interview on; the restri	ction
3. ☑ The allowed claim(s) is/are <u>1-21,23-29,31-52 and 57-65</u> .			
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority under a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> <li>2. ☐ Certified copies of the priority documents have</li> </ul>	e been received.		
3. ☐ Copies of the certified copies of the priority do		•	he
International Bureau (PCT Rule 17.2(a)).		3	
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.			;
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be submi INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>			
6. ☐ CORRECTED DRAWINGS ( as "replacement sheets") mus	t be submitted.		
(a) ☐ including changes required by the Notice of Draftspers	son's Patent Drawing	Review ( PTO-948) attached	
1)  hereto or 2)  to Paper No./Mail Date	•		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date			
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FO</li> </ol>			
<ul> <li>Attachment(s)</li> <li>1. ☐ Notice of References Cited (PTO-892)</li> <li>2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3. ☐ Information Disclosure Statements (PTO/SB/08),</li></ul>	6. ☐ Intel Pap 7. ⊠ Exa	ce of Informal Patent Application rview Summary (PTO-413), per No./Mail Date miner's Amendment/Comment miner's Statement of Reasons for Allowance	
/Johnna R Loftis/ Primary Examiner, Art Unit 3624			

Application/Control Number: 10/657,748 Page 2

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#### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 9/6/11 has been entered.

## Information Disclosure Statement

2. The information disclosure statement (IDS) submitted on 9/6/11 was filed after the mailing date of the Notice of Allowance on 6/10/11. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

#### **EXAMINER'S AMENDMENT**

- 3. Claims 53-56 were cancelled in the Examiner's Amendment mailed 6/10/11.

  Authorization for this examiner's amendment was given in a telephone interview with Robert Converse, Jr., on June 1, 2011.
- 4. Claims 1-21, 23-29, 31-52 and 57-65 allowed.

5. The following is an examiner's statement of reasons for allowance: The closest prior art, taken alone or in combination, fails to teach Applicant's invention. The closest prior art, cited by examiner, includes:

Sarin et al, US 6,003,011

"ProjectDash Drives Consistency in Communicating Project Status Online using Innovative Graphical Project Dashboard"

Vom Scheidt et al, US 2003/0126003

Further, Applicant's reply makes evident the reason for allowance, satisfying the record as a whole as required by rule 37 CFR 1.104(e). In this case, the substance of applicant's remarks filed on 3/17/11, specifically pages 18-20, point out the reason claims are patentable over the prior art of record (see MPEP 1302.14).

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Art Unit: 3624

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JOHNNA LOFTIS whose telephone number is (571)272-6736. The examiner can normally be reached on M-F 8am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynda Jasmin can be reached on 571-272-6782. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Johnna R Loftis/ Primary Examiner, Art Unit 3624